

**PRAIRIEVIEW**  
WEALTH PARTNERS

## Brochure Supplement

(Part 2B of Form ADV)

**Effective: 1/23/2024**

18331 Distinctive Dr.

Orland Park, IL 60467

Telephone: 708-326-4750

Prairieviewwealthpartners.com

This Brochure Supplement provides information about the following Supervised Persons:

**Timothy A. Regan, CFP® (CRD# 2812034)**

This brochure supplements the PrairieView Wealth Partners, LLC ("PrairieView") Brochure (Part 2A of Form ADV). You should have received a copy of PrairieView's Brochure (Part 2A of Form ADV). Please contact Michelle McCarthy, Chief Compliance Officer at 513-832-5447 or by email at [michelle.mccarthy@dinsmorecomplianceservices.com](mailto:michelle.mccarthy@dinsmorecomplianceservices.com) if you did not receive PrairieView's Brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Regan is available on the SEC's Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his individual CRD# 2812034.

## EDUCATION AND BUSINESS STANDARDS

PrairieView requires that any employee whose function involves determining or giving investment advice be properly licensed for all advisory activities in which they are engaged.

## SUPERVISION

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## ITEM 2 - EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

### **Timothy Regan – Wealth Advisor**

#### **Education Background:**

- Year of Birth: 1977
- Millikin University – 1996 / Purdue University - 2004

#### **Business Background:**

Mr. Regan has over 20 years of experience providing financial advice to clients. Mr. Regan's business background includes the following:

- *PrairieView Wealth Partners, LLC - Wealth Advisor (10/2023 – Present)*
- *Thrivent Advisor Network, LLC – Wealth Advisor (07/2020 – 10/2023)*
- *Purshe Kaplan Sterling Investments ("PKS")– Registered Representative - (07/2020 – 10/2023)*
- *Thrivent Financial – Wealth Advisor (07/2002 – 07/2020)*

#### **Business Address:**

18331 Distinctive Dr.

Orland Park, IL 60467

## **CERTIFIED FINANCIAL PLANNER™ (“CFP®”)**

The CERTIFIED FINANCIAL PLANNER™, CFP®, and federally registered CFP® (with flame design) marks

(collectively, the “CFP® marks”) are professional certification marks granted in the United States by CERTIFIED FINANCIAL PLANNER™ Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 87,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

#### Chartered Financial Consultant™ (“ChFC®”)

The Chartered Financial Consultant™ (ChFC®) program prepares you to meet the advanced financial planning needs of individuals, professionals, and small business owners. You'll gain a sustainable advantage in this competitive field with in-depth coverage of the key financial planning disciplines, including insurance, income taxation, retirement planning, investments, and estate planning. The ChFC® requires three years of full-time, relevant business experience, nine two-hour course-specific proctored exams. Holders of the ChFC® designation must adhere to The American College's Code of Ethics.

#### Program Objectives:

- Function as an ethical, competent and articulate practitioner in the field of financial planning
- Utilize the intellectual tools and framework needed to maintain relevant and current financial planning knowledge and strategies.
- Apply financial planning theory and techniques through the development of case studies and solutions.
- Apply in-depth knowledge in a holistic manner from a variety of disciplines, namely, estate planning, retirement planning, or non-qualified deferred compensation.

#### Fraternal Insurance Counselor™ (“FIC®”)

Designation granted by the Fraternal Field Managers Association (FFMA). The designation is awarded after completion of courses on fraternal benefit societies, ethics, and the fundamentals of life insurance and estate planning for individuals, families, and businesses. An individual is required to work for a minimum of 12 consecutive months with a fraternal benefit society that is a member of the American Fraternal Alliance and the FFMA.

### ITEM 3 - DISCIPLINARY INFORMATION

There are no legal, civil or disciplinary events to disclose regarding Mr. Regan. Mr. Regan has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Regan.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Regan.

#### ITEM 4 - OTHER BUSINESS ACTIVITIES:

##### Insurance Agency Affiliations

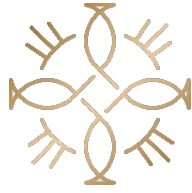
Mr. Regan is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Regan's role with PrairieView. As an insurance professional, Mr. Regan will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Regan is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Regan or the Advisor. Mr. Regan spends approximately 10% of his time per month in this capacity.

#### ITEM 5 - ADDITIONAL COMPENSATION:

Currently, Mr. Regan has no additional compensation.

#### ITEM 6 - SUPERVISION

See page 2.



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This Brochure Supplement provides information about the following Supervised Persons:

**Robert E. Morvice Jr., CFP® (CRD# 4639497)**

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Additional information about Mr. Morvice is available on the SEC's Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his individual CRD# 4639497.

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## ITEM 2 - EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

### **Robert E. Morvice Jr. – Director of Operations / Wealth Advisor**

#### **Education Background:**

- Year of Birth: 1973
- University of Kentucky – BA – Economics

#### **Business Background:**

Mr. Morvice has over 20 years of experience providing financial advice to clients. Mr. Morvice's business background includes the following:

- *PrairieView Wealth Partners, LLC – Director of Operations/Wealth Advisor (09/2023 – Present)*
- *Thrivent Advisor Network, LLC – Director of Operations/Wealth Advisor (05/2022 – 09/2023)*
- *Purshe Kaplan Sterling Investments ("PKS")– Registered Representative - (05/2022 – 09/2023)*
- *Bates Financial Advisors, Inc. – Investment Advisor Representative/Compliance Officer – (01/2019 – 04/2022)*
- *Bates Securities, Inc. – Registered Representative/Principal – (01/2019 – 04/2022)*
- *Bates Financial Group, Inc. – Vice President – (01/2019 – 04/2022)*
- *CBM Trading, LLC (subsidiary of Belvedere Trading, LLC) – Partner/Principal/Risk Manager/Derivatives Trader – (02/2012) – (01/2019)*

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- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

### ITEM 3 - DISCIPLINARY INFORMATION

There are no legal, civil or disciplinary events to disclose regarding Mr. Morvice. Mr. Morvice has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Morvice.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Morvice.

### ITEM 4 - OTHER BUSINESS ACTIVITIES:

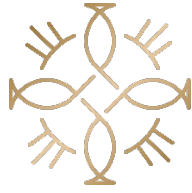
Currently, Mr. Morvice has no other business activities.

### ITEM 5 - ADDITIONAL COMPENSATION:

Currently, Mr. Morvice has no additional compensation.

### ITEM 6 - SUPERVISION

See page 2.



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This Brochure Supplement provides information about the following Supervised Persons:

**Kaitlyn M. Hedger (CRD# 7064953)**

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Additional information about Ms. Hedger is available on the SEC's Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her individual CRD# 7064953.

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## ITEM 2 - EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

### **Kaitlyn M. Hedger – Marketing Manager**

#### **Education Background:**

- Year of Birth: 1996
- University of Indianapolis – BA – Communications

#### **Business Background:**

Ms. Hedger's business background includes the following:

- *PrairieView Wealth Partners, LLC – Marketing Manager (09/2023 – Present)*
- *Thrivent Advisor Network, LLC – Marketing Manager (11/2022 – 09/2023)*
- *Midwest Legacy Group, LLC – Operations Manager (01/2019 – 10/2022)*
- *The Millennium Group – Assistant (07/2018 – 12/2018)*
- *American Cruise Lines – Cruise Director (05/2018 – 07/2018)*
- *University of Indianapolis – Student (09/2014 – 05/2018)*

#### **Business Address:**

18331 Distinctive Dr.

Orland Park, IL 60467

### ITEM 3 - DISCIPLINARY INFORMATION

There are no legal, civil or disciplinary events to disclose regarding Ms. Hedger. Ms. Hedger has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Hedger.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Hedger.

### ITEM 4 - OTHER BUSINESS ACTIVITIES:

Currently, Ms. Hedger has no other business activities.

### ITEM 5 - ADDITIONAL COMPENSATION:

Currently, Ms. Hedger has no additional compensation.

### ITEM 6 - SUPERVISION

See page 2.